Federal Workforce Statistics Sources: OPM and OMB

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Summary

This report describes online tools, reports, and data compilations created by the Office of Management and Budget (OMB) and the Office of Personnel Management (OPM) that contain statistics about federal employees and the federal workforce.

The report also describes key characteristics of each resource and briefly discusses selected methodological differences, with the intention of facilitating the selection of appropriate data for specific purposes. This report is not intended to be a definitive list of all information on the federal workforce. It describes significant and recurring products that contain data often requested by Members or congressional staff.
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Introduction

According to the Office of Personnel Management (OPM), the federal workforce is composed of an estimated 2.1 million civilian workers. Several federal agencies collect, compile, and publish statistics about this workforce. Sources may vary in their totals due to differences in the methods used to compile these statistics.

For example, some sources rely on “head counts” of employees (OPM), some on total hours worked (such as the Office of Management and Budget [OMB]), some on surveys of employing agencies, and others on self-identification by workers surveyed in their homes.

In addition, federal civilian employee databases may exclude particular departments, agencies, or branches of government. Some may also account for temporary or seasonal employees (such as those employed by the U.S. Census) depending on the time of year the statistics are generated.

This report describes these sources and identifies key differences in methodologies, including data collection used by OMB and OPM. Understanding these sources and their differences will facilitate selecting appropriate data for specific purposes.

Comparing Methodologies: On-Board Personnel Versus Full-Time Equivalents

One example of a key methodological distinction is the difference between full-time equivalents (FTEs) and on-board personnel. The following two examples illustrate how the FTE and on-board methods can be used to derive different federal workforce totals.

Method 1: Full-Time Equivalent Employment (OMB)

The term full-time equivalent employment (FTE) is used to quantify employment as a function of hours worked rather than by the number of individual employees. One FTE is also known as one work year. The number of FTEs in an agency is calculated by determining the total number of regular straight time hours (i.e., not including overtime or holiday hours) worked by employees and dividing that figure by the number of compensable hours applicable to each fiscal year. One work year, or one FTE, is equivalent to 2,080 hours of work.

Table 1 offers examples in which there is a difference between the actual number of employees and the number of FTEs working the same number of total hours. It also illustrates how measuring employment by hours can substantially change the perception of the number of employees it takes to accomplish the work.

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2 This section was created with assistance from Barbara Schwemle, Analyst in American National Government.

3 The figure of 2,080 hours in the work year is derived as follows: 8 hours per day multiplied by 10 days (in a 2-week pay period) equals 80 hours; 80 hours multiplied by 26 pay periods (in a year) equals 2,080 work hours.
### Table 1. Measuring Full-Time Equivalent (FTE) Employment

<table>
<thead>
<tr>
<th>Number of Actual Employees</th>
<th>Work Schedule</th>
<th>Normal Hours Worked Per Week</th>
<th>Total Number of Weeks</th>
<th>Total Compensable Hours</th>
<th>FTEs^a</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Full-time</td>
<td>40</td>
<td>52</td>
<td>2,080</td>
<td>1</td>
</tr>
<tr>
<td>2</td>
<td>Part-time</td>
<td>20</td>
<td>52</td>
<td>2,080</td>
<td>1</td>
</tr>
<tr>
<td>5</td>
<td>Full-time</td>
<td>40</td>
<td>52</td>
<td>10,400</td>
<td>5</td>
</tr>
<tr>
<td>10</td>
<td>Part-time</td>
<td>20</td>
<td>52</td>
<td>10,400</td>
<td>5</td>
</tr>
<tr>
<td>17</td>
<td>Part-time</td>
<td>20</td>
<td>52</td>
<td>17,680</td>
<td>8.5</td>
</tr>
<tr>
<td>163</td>
<td>Part-time</td>
<td>20</td>
<td>52</td>
<td>168,520</td>
<td>81.5</td>
</tr>
</tbody>
</table>

**Source:** Congressional Research Service (CRS) calculations based on guidelines located in Section 85 of OMB Circular No. A-11.

^a. FTE equals the total number of compensable hours worked divided by 2,080 hours.

FTE employment numbers are used by OMB to manage employment in departments and agencies. The requirements for reporting FTE employment in the President’s Budget are prescribed in Section 85 of OMB Circular No. A-11 on “Estimating Employment Levels and the Employment Summary (Schedule Q).”

FTE data are published annually in OMB’s *Budget of the United States Government* under the individual department and agency accounts in the Appendix as well as in the Analytical Perspectives and Historical Tables volumes.

### Method 2: On-Board Employment (OPM)

OPM defines *on-board employment* as the number of employees in pay status at the end of the quarter. Data for on-board employment provide individual employee head counts in most departments and agencies as of a particular date, including full-time, part-time, and seasonal employees. For example, OPM’s *Employment and Trends* report^6^ and OPM’s FedScope database^7^ contain on-board employment head counts.

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^7^ U.S. Office of Personnel Management, FedScope database, available at http://www.fedscope.opm.gov/. The database includes all executive branch agencies except the Board of Governors of the Federal Reserve System, the Central Intelligence Agency, the Defense Intelligence Agency, foreign service personnel at the State Department, the National Geospatial Intelligence Agency, the National Security Agency, the Office of the Director of National Intelligence, the Office of the Vice President, the Postal Regulatory Commission, the Tennessee Valley Authority, the White House Office, and the U.S. Postal Service. It does not include the Foreign Service. For further information, see the section labeled “Coverage” on the EHRI-SDM description page, available at http://www.fedscope.opm.gov/datadefn/
When calculating on-board personnel, each full-time, part-time, and seasonal worker is counted separately. When calculating FTEs, the collective hours those personnel work is counted. For example, an agency reporting 10 FTEs could conceivably report 20 on-board employees, depending on employees’ work schedules.

In addition, depending on the specific date the employees are counted, an on-board headcount may result in wider variances in employment numbers than a count of FTEs. For example, the Census Bureau planned to hire 500,000 Census enumerators leading up to the 2020 Census,\(^8\) many of whom were likely to be part-time employees. A count of federal on-board employees calculated during the employment of these Census enumerators will likely result in a substantially higher number than a count of federal FTEs during the same time period. The reason being that an FTE count examines hours worked rather than individual employee numbers.

### Office of Personnel Management

OPM is an independent agency\(^9\) that functions as the central human resources department of the executive branch. In fulfilling its mission, OPM collects, maintains, and publishes data on a large portion of the federal civilian workforce.

In FY2010, OPM established the Enterprise Human Resources Integration-Statistical Data Mart (EHRI-SDM). This automated system provides access to personnel data for 96% of nonpostal federal civilian executive branch employees. The database does have exclusions; for example, not all executive branch agencies submit their personnel data to OPM. These exclusions include some national security and intelligence agencies, and the Postal Service.\(^10\) Even with these exclusions, the EHRI-SDM is widely regarded as the most comprehensive resource available on the size and scope of the federal workforce.

More than 100 data elements are collected for each federal employee within the EHRI-SDM. These data are aggregated by OPM and published in the resources described below.

### FedScope

FedScope is the OPM website that provides public access to the EHRI-SDM, covering the most recent five years of employment, accession, and separation data provided by approximately 120 federal agencies.\(^11\) It is available at http://www.fedscope.opm.gov/.

FedScope data are presented in five subject categories, called “cubes,” each covering a different subject and time span.\(^12\) The following are descriptions of the data cubes available through FedScope:

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\(^11\) For further information, see the section marked “Coverage,” available at http://www.fedscope.opm.gov/datadefn/aehri_sdm.asp.

• **Employment.** This set of cubes contains the total number of federal employees of the participating agencies, including employee age, gender, length of service, occupation, occupation category, pay grade, salary level, type of appointment, work schedule, agency, and location. Data are published quarterly (March, June, September, and December) for the most recent eight fiscal years. September data, which align with the end of the fiscal year, are available from 1998 to the present.

• **Accession.** This set of cubes contains the number of people added to the federal civilian workforce each fiscal year. It includes data elements on employees hired from outside the government and those who transferred from one type of federal service category to another. The most recent 15 fiscal years of data are available.

• **Separation.** This set of cubes contains the number of people who leave the federal civilian workforce each fiscal year. It captures data elements on employees who transferred to other agencies, voluntarily resigned, retired, experienced a reduction-in-force (RIF), were terminated, or died while employed. The most recent 15 years of data are available.

• **Employment Trends.** This set of cubes displays the most recent five years of employment cube data together in one interface, facilitating workforce data comparisons and trend recognition.

• **Diversity.** This set of cubes sorts data by an Ethnicity and Race Indicator. Data elements for 13 categories of racial and ethnic groups are available for the most recent eight years. September data, which align with the end of the fiscal year, are available from 2006 to the present.

Table 2 provides some of the most commonly requested data available from FedScope.

### Table 2. Federal Civilian Employees On-Board Personnel, 2013-2019

<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>United States</td>
<td>2,018,129</td>
<td>1,998,681</td>
<td>2,029,158</td>
<td>2,053,984</td>
<td>2,045,296</td>
<td>2,055,855</td>
<td>2,087,064</td>
</tr>
<tr>
<td>U.S. Territories</td>
<td>14,417</td>
<td>14,548</td>
<td>12,436</td>
<td>12,171</td>
<td>12,404</td>
<td>14,580</td>
<td>14,418</td>
</tr>
<tr>
<td>Foreign Countries</td>
<td>33,486</td>
<td>31,354</td>
<td>29,173</td>
<td>29,942</td>
<td>29,088</td>
<td>29,401</td>
<td>30,060</td>
</tr>
<tr>
<td>Unspecified Areas</td>
<td>1,230</td>
<td>1,224</td>
<td>949</td>
<td>941</td>
<td>959</td>
<td>966</td>
<td>1,270</td>
</tr>
<tr>
<td>Total</td>
<td>2,067,262</td>
<td>2,045,707</td>
<td>2,071,716</td>
<td>2,097,038</td>
<td>2,087,747</td>
<td>2,100,802</td>
<td>2,132,812</td>
</tr>
</tbody>
</table>


**Notes:** Each total is an “on-board” count for September of the year noted. Current coverage does not include the Board of Governors of the Federal Reserve, Central Intelligence Agency, Defense Intelligence Agency, foreign service personnel at the State Department, National Geospatial-Intelligence Agency, National Security Agency, Office of the Director of National Intelligence, Office of the Vice President, Postal Regulatory Commission, Tennessee Valley Authority, U.S. Postal Service, White House Office, foreign nationals overseas, Public Health Service’s Commissioned Officer Corps, non-appropriated fund employees, selected legislative branch agencies, the judicial branch, or the military.

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The three service categories are competitive service, excepted service, and Senior Executive Service.
Employment and Trends

Employment and Trends is an occasional publication from OPM based on on-board employee data. It provides data on executive departments and independent agencies, including the Department of Defense (DOD) civilian employees, Executive Office of the President, legislative branch, and judicial branch. It presents selected data in detailed statistical tables and includes information by government branch, agency, and location. Introductory material in Employment and Trends explains the data presented, time lags in data releases, and caveats to consider when calculating workforce totals. The most recently released version of this resource is available at http://www.opm.gov/policy-data-oversight/data-analysis-documentation/federal-employment-reports/#url=Employment-Trends.

Common Characteristics of Government


Sizing Up the Executive Branch of the Federal Workforce

Sizing Up the Executive Branch of the Federal Workforce is an OPM report that provides access to frequently requested data related to the executive branch. This report includes some information related to the size of the executive branch by month and year, types of employment, and other frequently requested data. The most recent report (FY2017) is available at https://www.opm.gov/policy-data-oversight/data-analysis-documentation/federal-employment-reports/reports-publications/sizing-up-the-executive-branch-2016.pdf.

Office of Management and Budget

OMB is the largest component of the Executive Office of the President. OMB reports directly to the President, and it assists executive departments and agencies in implementing priorities and commitments of the President.14 OMB produces the Budget of the United States, which includes federal employee statistics created using the FTE counting method.

Budget of the United States

The Budget of the United States, sometimes referred to as the President’s Budget, is a four-volume set of documents that includes detailed financial information on individual programs and appropriations accounts. Three volumes of the budget include information on direct civilian FTEs.15 Tables in the President’s Budget typically include actual FTE levels for prior fiscal years.

14 More information on OMB’s mission and structure can be found at http://www.whitehouse.gov/omb/.


Table 3 illustrates an example of some commonly requested federal employment data found within the President’s Budget.

### Table 3. Total Federal Employment
(as measured by full-time equivalents)

<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Executive Branch Civilian</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>All Agencies, Excluding Postal Service</td>
<td>2,085,496</td>
<td>2,206,137</td>
<td>2,172,433</td>
<td>-33,704</td>
</tr>
<tr>
<td>Postal Service(^a)</td>
<td>583,573</td>
<td>585,682</td>
<td>578,984</td>
<td>-6,698</td>
</tr>
<tr>
<td>Subtotal, Executive Branch Civilian</td>
<td>2,669,069</td>
<td>2,791,819</td>
<td>2,751,417</td>
<td>-40,402</td>
</tr>
<tr>
<td><strong>Executive Branch Uniformed Military</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Department of Defense(^b)</td>
<td>1,363,348</td>
<td>1,350,264</td>
<td>1,356,861</td>
<td>6,597</td>
</tr>
<tr>
<td>Department of Homeland Security (USCG)</td>
<td>42,588</td>
<td>50,230</td>
<td>50,511</td>
<td>281</td>
</tr>
<tr>
<td>Commissioned Corps (DOC, EPA, HHS)</td>
<td>6,480</td>
<td>6,532</td>
<td>6,626</td>
<td>94</td>
</tr>
<tr>
<td>Subtotal, Uniformed Military</td>
<td>1,412,416</td>
<td>1,407,026</td>
<td>1,413,998</td>
<td>6,972</td>
</tr>
<tr>
<td><strong>Total, Executive Branch</strong></td>
<td>4,081,485</td>
<td>4,198,845</td>
<td>4,165,415</td>
<td>-33,430</td>
</tr>
<tr>
<td><strong>Legislative Branch</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Legislative Branch(^c)</td>
<td>31,182</td>
<td>31,877</td>
<td>32,221</td>
<td>344</td>
</tr>
<tr>
<td>Judicial Branch</td>
<td>32,973</td>
<td>33,716</td>
<td>34,143</td>
<td>427</td>
</tr>
<tr>
<td><strong>TOTAL</strong></td>
<td>4,145,640</td>
<td>4,264,438</td>
<td>4,231,779</td>
<td>-32,659</td>
</tr>
</tbody>
</table>

Source: President’s FY2021 Budget, Analytical Perspectives, Table 5-2, p. 53, https://www.govinfo.gov/content/pkg/BUDGET-2021-PE很好的. 16

The following volumes of the President’s Budget include information on federal employees. The current volumes can be accessed at https://www.whitehouse.gov/omb/budget/. \(^{16}\)

**Analytical Perspectives**

The Analytical Perspectives volume typically includes information on the federal workforce, sometimes including information on occupations, trends, education level, age distribution, and other factors. The most current Analytical Perspectives volume of the President’s Budget is available at http://www.whitehouse.gov/omb/analytical-perspectives/.

Appendix

The Appendix volume typically includes an estimate of individual agency FTEs based on the President’s proposal along with an estimate and actual FTE count for the prior two years. The most recent Appendix volume of the President’s Budget is available at http://www.whitehouse.gov/omb/appendix.

Historical Tables

The Historical Tables volume of the President’s Budget includes historical data on topics such as budget, receipts, outlays, and deficits. This volume also typically includes historical employment counts. The most recent Historical Tables volume of the President’s Budget is available at http://www.whitehouse.gov/omb/historical-tables.

Consideration of Sources

The resources described in this report contain data often requested by Members or congressional staff. The sources covered differ in methodology, such as how employees are counted (FTEs versus on-board employees), which agencies are included or excluded from counts, and the frequency of data collection. Users should be aware of these differences when using federal workforce statistics from these sources.

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